



Avv. Giuseppe Pezzulli



PROFILE

Giuseppe Pezzulli is a corporate lawyer and senior executive.

As a regulatory expert, advises banks, securities firms, investment managers, prime brokers, and hedge funds on Aifmd, Emir, Mifid and Ucits. As a senior executive, manages complex corporate crises, tunarounds and business reorganisations in the banking and financial services space, as well as market entries and transformations in the TMT space.

Currently, sits on the Board of Directors of Finlombarda. Chairman of Select Milano, is a columnist on daily financial newspaper MilanoFinanza and a Brexit pundit on financial TV channel Cnbc.

A graduate of the military academy, holds an LLB from Luiss, an LLM from New York University and a JD from Columbia University. Qualified as Avvocato in Italy, Solicitor of the Senior Courts of England & Wales, Attorney at Law in New York.

Included in the GC Power List 2016 (Italy), has received the in-house Community Award as General Counsel of the Year 2016.

Qualifications ▪ Solicitor of the Senior Courts of England & Wales ▪ Attorney at Law, New York State Bar

Professional Experience

Simmons & Simmons, Milan, Italy **Gen 2018 – present**
Senior Adviser

Advises on the legal, policy and regulatory issues arising out of Brexit.

Cassa di Risparmio di San Marino, Republic of San Marino **Nov 2017 – Dec 2017**
Deputy Chief Executive Officer

Finlombarda S.p.A., Milan, Italy **May 2017 – present**
Member of the Board of Directors
Chairman of the Audit, Compliance & Risk Committee

Finlombarda is a specialist investment bank based in the financial district of Milan. It offers credit facilities and guarantees, equity-based financing solutions; asset management services.

Comitato Select Milano, Milan, Italy **Mar 2016 – present**
Chairman **Mar 2016 – Sep 2017**
General Counsel & Chief Compliance Officer

Select Milano is a liberal think tank. It has pursued an ambitious agenda of regulatory reforms. Select Milano has advocated to: (i) promote a set of tax incentives for the ultra high net worth market; (ii) import the "resident non domiciled" tax regime into Italy; (iii) introduce an overarching legal regime for the management of banks' non-core exposures; (iv) extend the scope of application of the "brains drain" Decree; (v) propose the institution of a special economic zone in the Expo area of Milan; and (vi) achieve the abolition of the Tobin tax and amendments to the capital gain tax.

Italiaonline SpA, Milan, Italy **Mar 2016 – Oct 2016**
General Counsel
Secretary of the Board of Directors

Group general counsel and corporate secretary, led a team of 19 counsel and managed the function's budget.

BlackRock Investment Management (UK) Limited, London **Nov 2013 – Dec 2015**
Head of Legal & Compliance (Italy, Cyprus, Greece, Malta, Turkey)

Managed the formation and operations of investment funds domiciled in Cayman Islands, Ireland, Luxembourg, and UK. Product expertise includes UCITS funds (SICAVs and trusts), alternative investment funds (hedge funds, private equity funds, funds-of-funds), ETFs, and model portfolios.

Supported securities finance (repos, securities lending, derivatives), collateral management, global custody, clearing, and prime brokerage.

Provided advice with respect to both U.S. securities regulation (1933 Act, 1934 Act, Investment Company Act 1940, Investment Advisers Act 1940, Volcker rule) and EU financial services regulation (AIFMD, EMIR, MiFID, and UCITS).

Turning Point Global Investors Ltd, London & Luxembourg

Vice Chairman of the Board of Directors

Member of the Board of Directors

Aug 2009 – Oct 2013

Jan 2005 – Oct 2007

TPC is a merchant firm, that makes proprietary investments and actively manages its investments. During term, tapped for senior executive engagements at BNP Paribas Investment Partners (2009/2010); Amazon.it (2010/2011) and Nomura Bank (2011/2012).

European Bank for Reconstruction and Development, London

Counsel (Investment Funds / Global Markets)

Nov 2007 – Jul 2009

In charge of advising on treasury management & funding programmes; the establishment and structuring of private investment funds; and the execution of fund-related transactions.

Shearman & Sterling LLP, New York

Attorney at Law (Investment Funds / Global Markets)

Sep 2003 – Dec 2004

Assisted on, and co-managed, teams servicing fund managers and global broker-dealers in connection with the structuring of private investment funds and the executions of capital markets transactions.

Sullivan & Cromwell LLP, New York

Attorney at Law (Investment Funds / Global Markets)

Sep 1998 – Jun 2001

Assisted on teams servicing fund managers and global broker-dealers in connection with the structuring of private investment funds and the execution of capital markets transactions.

Pupillage

Feb 1994 – Aug 1997

Education

University of Milano

Diploma di perfezionamento *post lauream* in Diritto dei Mercati Finanziari, 2012.

Columbia University, New York

Juris Doctor, 2003.

Harlan Fiske Stone Scholar.

New York University, New York

Master of Laws, 1998.

LUISS "Guido Carli", Rome

Bachelor of Laws, 1994.

Scuola Militare "Nunziatella", Naples

Diploma di maturità scientifica, 1988.

Languages ▪ English ▪ Italian ▪ Russian ▪ Spanish